

OCT. 5. 2007 12:09 PM

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NO. 1425 P. 1

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FRISHAUF, HOLTZ, GOODMAN & CHICK, PC
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R. Villani (Depositor's name)
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 via fax 10/5/07 (Date)

10/05/2007 FMETEK12 00000088 10789160

01 FC:1504
 APPLICATION NO. 10789,160 FILING DATE 02/27/2004 FIRST NAMED INVENTOR Akira Fukushima ATTORNEY DOCKET NO. 03774/LH CONFIRMATION NO. 8989

TITLE OF INVENTION: CRAWLER FRAME FOR CONSTRUCTION MACHINE

Refund Ref:
10/09/2007

0030045586

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	10/09/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
BEACH, THOMAS A	3671	037-397000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

1. Komatsu Ltd.

Tokyo, Japan 10/09/2007 FMETEK12 00000001 061378 10789160

2. Hitachi Construction Machinery Co., Ltd.

Tokyo, Japan

9.00.00

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee
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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

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Date 10/5/07

Typed or printed name Leonard Holtz

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Adjudication Date: 10/05/2007
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